# **EXHIBIT A**

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UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MASSACHUSETTS

SUSAN COONEY.

Plaintiff

Exhibits: 88-112

SAYBROOK GRADUATE SCHOOL AND RESEARCH CENTER, and MAUREEN O'HARA, Individually,

DOCKET NO. 04 11572 JLT

Defendants

DEPOSITION of DR. KAREN SCHWARTZ, a Witness called by Counsel on behalf of the Plaintiff, taken pursuant to the applicable provisions of the Massachusetts Rules of Civil Procedure, before Arlene Boyer, a Certified Court Reporter and Notary Public in and for the Commonwealth of Massachusetts, at the Division of Professional Licensure, 239 Causeway Street, Boston, Massachusetts, on Friday, April 28, 2006, commencing at 10:30 a.m.

Dr. Karen Schwartz, April 28, 2006

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INDEX Witness Direct Cross Redirect Dr. Karen Schwartz

(By Mr. Morenberg) (By Ms. Garcia) 112 (By Mr. Morenberg)

153 EXHIBITS

Number Description Page Schedule of Documents Requested 88 8 89 Letter from Mr. Borre to Mr. Morenberg dated April 21, 2006 Dr. Schwartz's Curriculum Vitae

90 14 Regulation Filing and Publication 91 dated December 1, 1993

92 Memo from Susan Manning to William Wood and Anne Collins Re: Proposed Regulatory Change dated November 15, 1995

93 E-Mail from Dr. Schwartz to J. Brown at Saybrook dated March 21, 2003

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APPEARANCES:

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REPRESENTING DR. KAREN SCHWARTZ

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EXHIBITS Number Description

Page Consortium for Diversified 94 Psychology Programs Public Comment dated December 14, 1995

Letter from Rudy Melone to Robert Coutu dated February 13, 1996 95

96 Letter from Rudy Melone to David 54 Ramsey dated February 13, 1996

Letter from William Wood to Union 97 60 Institute dated March 20, 2006

98 Letter from Union Institute to Robert Coutu Regarding Second Regulatory Change Proposed by the Board dated February 28, 1997

99 Fax from Marsha Hammond to Licensing 66 Board dated January 11, 1996

100 May 2, 1997 Change in Section 3.03 of the Regulations

101 Letter from Union Institute to William Wood dated May 8, 1997

102 E-Mail from Susan Cooney to Dr. Schwartz dated November 7, 2003

103 Letter from Susan Cooney to Dr. Schwartz dated September 27, 2004

104 Letter of Reply from the Board to Susan Cooney dated October 20, 2004

105 Application Form from Richard Francis 106

94

Cover of Richard Francis's Application

57

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Was she the administrative assistant?

1 2

Q Did the board itself receive a copy of all these 3 public comments?

A Yes. May I amend that? 5 6

Q Sure.

A I do not remember if copies were made of these. 7 8

The board would have seen the folder.

Q Is it fair to say that what you've produced in 9 response to the deposition subpoena, which 10 includes Exhibits 95 and 96, were part of the 11

public comments folder that was available to the 12

13 board? 14 A Yes.

Q Would it appear from the nature of this document 15

and the fact that it was in the public comments 16 folder that the board itself received notice that 17

18 Saybrook, through its interim president, was 19

objecting to the proposed regulation on completed

20 in residence?

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21 A Yes. 22

Q These letters, Exhibits 95 and 96, are addressed 23

to David Ramsey and Robert Coutu. Was Mr. Coutu 24

the chair of the Board of Registration of

Dr. Karen Schwartz, April 28, 2006

A I don't recall Saybrook candidates for licensure 1 2 being discussed.

Q Do you recall there being a discussion at any board meetings about Dr. Richard Francis?

5 A Yes.

Q Do you recall there being any discussions at board 6 7 meetings about Dr. John Burke?

8 A No.

9 Q The fact that you recall Dr. Francis was discussed at a board meeting, would that affect your prior 10

11 answer as to whether you recall Saybrook students 12

being discussed at board meetings?

A Yes.

13

14

MS. GARCIA: Objection.

Q So it's fair to say that --15 16

A But it's not Saybrook students. It's an applicant. Applicants are -- were at the time 17 18

reviewed individually, not as representatives of a

19 particular program. Each application got an individual review, and the board had a standard 20

policy, which was we review applicants, not 21

22 programs.

Q Can you recall any other Saybrook graduates that 23 24 were reviewed by the board between 1995 and 1997?

Psychologists in February 1996?

1

2

Q Do you know when he stepped down as chair? 3

4 A July of '97.

Q Who became chair after Mr. Coutu? 5

6 A Dennis Norman.

Q David Ramsey is also a psychologist? 7

A I have never heard of David Ramsey. 8

Q So you don't know if David Ramsey was ever 9 affiliated with the Board of Registration of 10

11 Psychologists?

A I don't think David Ramsey was ever affiliated 12 13

with the Board of Registration of Psychologists as a board member. I don't know whether he ever

14 worked for the agency, Division of Professional 15

Licensure. I don't know who he is. He was a who 17 is this guy.

Q Did you ever have any discussions with Dr. Coutu about Saybrook specifically?

19 20

Q Do you know if Dr. Coutu would have been present 21

when Saybrook candidates for licensure were 2

23 discussed? 24

MS. GARCIA: Objection.

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58

A Any other? What do you mean by any other?

2 Any other graduates of Saybrook whose application 3

60

4 A Other than whom?

5 Q Other than Richard Francis.

6 A Richard Francis was much later. 7

Q Do you recall any Saybrook graduates whose application for licensure as a psychologist was discussed by the board during the period of 1995

10 through 1997? 11

A I don't think there were any.

Q Do you recall any Saybrook graduates whose 12 application for licensure as a psychologist was 13 14

discussed by the board between 1997 and 2000 other

15 than Richard Francis? 16

A No.

8

9

Q What decision was made about the proposed 17

18 regulation that appears as Exhibit 92 after the 19 public hearing in January of '96?

A I'm not sure if you would call it a decision, but 20 I believe the board chose to put forward a 21

22 different regulation, as I explained. 23

(Exhibit Number 97, Letter from William Wood to Union Institute

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1 2 3	Q	When you say it's "a fraction of an application packet," is that because it's the application form itself with no supporting material?
1	Α	Correct.
5	Q	If you could turn to the last page of the

5 If you could turn to the last page of the 6 document, it appears that this application was 7 submitted on or about September 24, 2000. 8 MS. GARCIA: Objection.

A Actually, you can't tell from that date. There's 9 another piece of the application that tells you 10 when we received it, and that is the application 11 file itself. It has the date that we received it. 12

Q Is that date on the cover of the file? 13

14 A Yes.

Q Do you know if the cover was produced? 15

A The cover was produced. 16 17

MR. BORRE: The cover was produced.

18 A Because a lot of people fill these things out and 19 then, you know, just take forever to send them in. 20

MR. BORRE: This is the original cover.

21 If you want to --22

MR. MORENBERG: I do have that. Hold on. Let's just go off the record for a second.

(Off the record.)

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## Dr. Karen Schwartz, April 28, 2006

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designated by the ASPPB? 2

A Correct.

Q Did the board accept Dr. Francis's application for licensure?

A Not initially. 5

Q Why did it not accept it initially? 6 7

A I'd have to look back at the documents, but my guess is that they denied him based on the 8 9

residency requirement that was on the books. Q Now, I don't want you to guess, but to your 10 understanding, was the reason he was denied initially because he did not meet the residency 12

13 requirement? 14 A Correct.

11

Q To your understanding, why did Dr. Francis not 15 meet the board's residency requirement? 16

A I believe that the way he claimed to meet it was 17 through rather than being at the institution for a 18 year, as it says in the old regs, that he had, you 19

know, what the programs would call sort of 20 21 residential events where students would come

22 together at a hotel and do stuff together and call 23 that residency.

Q To your understanding, did the board view that as 24

1 (Exhibit Number 106, Cover of 2 Richard Francis's Application, was 3 Marked for Identification.) Q I'm putting before you what's been marked as 4 Exhibit 106 for identification. Do you recognize 5 6 this document? 7 A Yes. 8 Q What is this? A This is the cover of Richard Francis's 9 10 application. Q Does this document refresh your recollection as to 11 the date when Dr. Francis submitted his 12 13 application? 14 A Yes. Q What date was that? 15 16 A June 23, 2000. Q Is this document maintained in the ordinary course 17 18 of business? 19 A Yes.

Q Is this a public record maintained by the board?

submitted his application prior to September 1,

2000, he did not have to come from a program

Q Is it fair to say that because Dr. Francis

residency that met the requirements of the 1 2 regulation? 3 MS. GARCIA: Objection. 4

A Not in those years.

Q In the past, had the board recognized meetings and seminars at hotels as qualifying for residency?

A Not specifically.

Q Was Dr. Francis eventually approved for licensure? 8 9

A Yes, he was.

Q To your understanding, why was he approved for 10 11 licensure?

A He appealed the board's denial. He produced 12 documentation of a physical disability that 13 affected his mobility, and the board made an 14 exception for him based on his disability. 15

Q Was it your understanding that the board approved 16 his application for licensure as a reasonable 17

18 accommodation under the Americans With 19 Disabilities Act?

20 MS. GARCIA: Objection.

21

22 Q Would Dr. Francis have qualified for licensure if he did not have a disability?

23 MS. GARCIA: Objection. 24

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1 2 3	applied?  A Well, in the production of the documents for this deposition, we came was it! It is a second to the documents for this
4	deposition, we came up with John Burke's
	application. I have no idea if Mr. Finn was
5	involved in that, and I don't know of another
6	O Do you know if the board details it is
7	Q Do you know if the board determined whether John
0	Burke met the residency requirements of the
^	DOOPN'O manage I at a constant of the constant

- 8 board's regulations? A In my review of the documents before today, I 9 looked at that file, because I was not familiar 10 with it, and it appeared to me that they failed to 11 make a determination about the residency in that 12 13
- file. I believe a mistake was made in that file. Q But you would agree that the board did approve Dr. 14
- Burke's application for licensure as a 15 16 Massachusetts psychologist?
- 17 A In 1995. 18
- MR. MORENBERG: Could we mark this. 19 (Exhibit Number 109, A Piece of 20 John Burke's Application File dated 21 March 20, 1995, was Marked for 22 Identification.)
- Q Dr. Schwartz, I've put before you what I've marked 23 as Exhibit 109 for identification. Do you 24

#### Dr. Karen Schwartz, April 28, 2006 101 Q Were you aware that Saybrook's former president, 103 Rudy Melone, submitted a letter on behalf of Dr. 2 3 Burke in support of his application for licensure? MS. GARCIA: Objection. A Not until yesterday. 5 Q I want to quote from the third paragraph of this 6 7 letter. A I'm sorry, not yesterday. It was just the 8 9 previous day I was here, was Wednesday. Q I want to quote from the last sentence of the 10 third paragraph of this letter from Rudy Melone. 11 "Dr. Burke and I have worked to ensure that 12 13 prospective Saybrook Institute Ph.D. candidates in Massachusetts understand and keep abreast of the 14 15 regulations pertaining to the practice of 16 psychology." 17 Based on this letter, would you agree 18 that it appears that Saybrook was committed to 19 keep its students abreast of Massachusetts

PAGE 199 102 1 recognize this document? A Recognize, no. 2

- Q Let me ask it a different way. Dr. Schwartz, is 3 what I've put before you as Exhibit 109 a document 4 that the board produced in response to my 5 6 deposition subpoena? A Is that a question? 7
- 8 Q Yes.
- 9 A Say that again.
- Q Sure. The document that I put before you which is 10 marked as Exhibit 109, is this a document that the 11
- board produced in response to my deposition 12 13 subpoena?
- 14 A Yes.
- Q Is this a document that the board maintained in 15 the ordinary course of business? 16
- 17
- Q Is this a public record maintained by the board? 18
- 19
- Q Do you recognize what Exhibit 109 is? 20
- 21 A Yes.
- .2 What is it?
- 23 A It is a piece of John Burke's application file, I 24
  - believe.

1 expect that Saybrook would advise its students of 2

day, it appears that way.

regulations?

other regulatory changes to the extent that it was aware of them?

MS. GARCIA: Objection.

A The letter is dated March 20, 1995, and as of that

Q Based on this statement from Dr. Melone, would you

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MS. GARCIA: Objection.

- A I just don't have those kinds of expectations. If you expect little, you never get disappointed.
- Q At any time in your tenure as program coordinator 7 8 for the board, have you ever had occasion to review Saybrook's academic offerings?
- A No. Until this deposition subpoena, I couldn't 10 have even told you what state Saybrook was in. 11
- Q Prior to the regulatory change on May 2, 1997, 12 would you or anyone at the board have been able to 13 advise a Massachusetts resident whether Saybrook's 14 15 program met the board's regulations? 16
- A What was the first half, prior to what? 17

MR. MORENBERG: Can you read that back? (Court Reporter repeated last question.)

A So I can answer for me. I don't know, because I 19 don't -- you know, 5/2/97 is kind of a turning 20 point in my memory, and stuff before that is quite 21 foggy. I don't know what I would or wouldn't have 22 said in the years before that, nor do I know what 23 24